Attorney Docket No. <u>02135C/HG</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Katsuhiro IWASAKI et al

Serial No. :

Filed : Concomitantly herewith

For : METHOD AND APPARATUS

FOR METAL SMELTING

PRELIMINARY AMENDMENT FILED CONCOMITANT WITH APPLICATION

Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

Please amend the application as follows:

IN THE SPECIFICATION:

Page 1, below title and above "TECHNICAL FIELD", please insert the following:

--This application is a continuation patent application of International Application PCT/JP00/05916 filed August 31, 2000.--

IN THE CLAIMS:

The following amended claim 37 is identical to the claim in the application starting on page 240, fifth line from the bottom and continuing through page 241 and ending on page 242, line 2 which was erroneously identified as claim "36" instead the correct number "37".

Express Mail Mailing Label No.: EL 874 117 887 US Date of Deposit: February 28, 2002

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Lori Valdes

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

- 37. (Amended) A method for metal smelting comprising the steps of:
- (A) preliminarily reducing a mixture of one or more of mixture of raw materials selected from the group consisting of following-given (a) through (c) in a prereduction furnace of rotary hearth type until a part of the metal oxide and/or the metal hydroxide is metallized;
- (a) a mixture of raw materials prepared by mixing at least a carbonaceous material and a metal oxide and/or a metal hydroxide,
- (b) a mixture of raw materials prepared by mixing and granulating at least a carbonaceous material and a metal oxide and/or a metal hydroxide, and
- (c) a mixture of raw materials prepared by mixing and molding at least a carbonaceous material and a metal oxide and/or a metal hydroxide; and
- (B) melting and finally reducing the mixture of raw materials, which is preliminarily reduced in the step (A), by charging thereof to a melting furnace using the carbonaceous material as a reducing agent, and using combustion heat of the carbonaceous material and combustion heat of carbon monoxide generated in the melting furnace as main heat source;

wherein, in the step (A), a charge consisting mainly of a powder and particle raw material (one or more of raw material selected from the group consisting of a mixture of raw materials, a metal oxide and/or a metal hydroxide, and a carbonaceous material) and/or a charge consisting mainly of powder and particles of an auxiliary raw material being charged to the melting furnace, or a charge consisting mainly of powder and particles of the powder and particle raw material and/or the

powder and particles of the auxiliary raw material, is charged onto the rotary hearth of the prereduction furnace, then granulates and/or molded forms of the mixture of raw materials are supplied to the upper layer of the charge at downstream side along the route of rotary hearth movement.

Please cancel claim 46 (first occurrence) which is set forth on page 245, the third and fourth lines from the bottom of the page.

Please add the following new claim 70:

70. (New) The method for metal smelting of claim 45, wherein the primarily crushed ore has particle sizes of from 0.1 to 1 mm.

REMARKS

The correction of the numbering of claim 37 is the obvious correction of an obvious error. The following claim is claim 38 and it depends from claim 37.

The claim originally identified as claim 46 on page 245, the third and fourth lines from the bottom of the page is cancelled and the same subject matter inserted as claim 70. Page 245 includes two claims 46. The first claim 46 was deleted because it is much shorter than the second claim 46 which remains.

A copy of pages 240, 241 and 242 are attached with page 240 being marked up to show the change to the claim which is presented herein as claim 37.

Entry of the present amendment is solicited.

Respectfully submitted,

HERBERT GOODMAN Reg. No. 17,081

Frishauf, Holtz, Goodman, Langer & Chick, P.C. 767 Third Ave., 25th floor New York, NY 10017-2023 Telephone: (212) 319-4900 Facsimile: (212) 319-5101

HG/1pv

granulating at least a carbonaceous material and a metal oxide and/or a metal hydroxide, and

- (c) a mixture of raw materials prepared by mixing and molding at least a carbonaceous material and a metal oxide and/or a metal hydroxide; and
- (B) melting and finally reducing the mixture of raw materials, which is preliminarily reduced in the step (A), by charging thereof to a melting furnace using the carbonaceous material as a reducing agent, and using combustion heat of the carbonaceous material and combustion heat of carbon monoxide generated in the melting furnace as main heat source;

wherein the step (A) conducts preliminary reduction of the mixture of raw materials while forming a layer that contains not large amount of metal oxide and/or metal hydroxide at the lowermost layer part of the raw material layer on the rotary hearth of the prereduction furnace.

36. The method of metal smelting of claim 35, wherein the lowermost layer part of the raw material layer consists of an auxiliary raw material or consists mainly of a layer of auxiliary material being charged to the melting furnace.

<sup>37.
[36]</sup> A A method for metal smelting comprising the steps of:

⁽A) preliminarily reducing a mixture of one or more of mixture of raw materials selected from the group consisting of following-given (a) through (c) in a prereduction furnace of rotary hearth type until a part of the metal oxide and/or the

metal hydroxide is metallized;

- (a) a mixture of raw materials prepared by mixing at least a carbonaceous material and a metal oxide and/or a metal hydroxide,
- (b) a mixture of raw materials prepared by mixing and granulating at least a carbonaceous material and a metal oxide and/or a metal hydroxide, and
- (c) a mixture of raw materials prepared by mixing and molding at least a carbonaceous material and a metal oxide and/or a metal hydroxide; and
- (B) melting and finally reducing the mixture of raw materials, which is preliminarily reduced in the step (A), by charging thereof to a melting furnace using the carbonaceous material as a reducing agent, and using combustion heat of the carbonaceous material and combustion heat of carbon monoxide generated in the melting furnace as main heat source;

wherein, in the step (A), a charge consisting mainly of a powder and particle raw material (one or more of raw material selected from the group consisting of a mixture of raw materials, a metal oxide and/or a metal hydroxide, and a carbonaceous material) and/or a charge consisting mainly of powder and particles of an auxiliary raw material being charged to the melting furnace, or a charge consisting mainly of powder and particles of the powder and particle raw material and/or the powder and particles of the auxiliary raw material, is charged onto the rotary hearth of the prereduction furnace, then granulates and/or molded forms of the mixture of raw materials

are supplied to the upper layer of the charge at downstream side along the route of rotary hearth movement.

- 38. The method for metal smelting of claim 37, wherein, in the step (A), the particle size of the charge of powder and particles being charged onto the rotary hearth is in a range of from 0.05 to 10 mm.
- 39. The method for metal smelting of claim 37 or claim 38, wherein, in the step (A), the powder and particle charge being charged onto the rotary hearth is coal or a charge consisting mainly of coal.
- 40. The method for metal smelting of claim 37 or claim 38, wherein, in the step (A), the powder and particle charge being charged onto the rotary hearth is a non-fired auxiliary raw material or a charge consisting mainly of a non-fired auxiliary raw material.
- 41. The method for metal smelting of claim 37 or claim 38, wherein, in the step (A), granulates and/or molded forms of a mixture of raw materials which are charged to the upper layer of the charge on the rotary hearth are granulates and/or molded forms which are not treated by preliminary drying.
- 42. A method for metal smelting, comprising the steps of:
- (A) preliminarily reducing a mixture of one or more of mixture of raw materials selected from the group consisting of